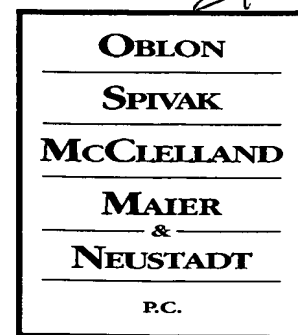




Docket No.: 247791US0

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313



ATTORNEYS AT LAW

RE: U.S. Application Serial No. 10/759,206,
Issued as U.S. Patent No. 6,986,811
Applicants: Martin KOENEMANN, et al.
Filing Date: January 20, 2004
Issued: January 17, 2006
For: PERYLENE DERIVATIVES AND THEIR
APPLICATION AS DISPERSANTS
Group Art Unit: 1755
Examiner: A. J. GREEN

SIR:

Attached hereto for filing are the following papers:

Information Disclosure Statement Under 37 CFR § 1.97
Form PTO-1449
Cited References (5); English-language Abstract (1)

Respectfully submitted,

OBLON, SPIVAK, McCLELLAND,
MAIER & NEUSTADT, P.C.
Norman F. Oblon

Corwin P. Umbach, Ph.D.

Registration No. 40,211

Customer Number

22850

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Docket No. 247791US0



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Martin KOENEMANN, et al.

U.S. Application Serial No. 10/759,206,

Issued as U.S. Patent No. 6,986,811

FILED: January 20, 2004

ISSUED: January 17, 2006

FOR: PERYLENE DERIVATIVES AND THEIR APPLICATION AS DISPERSANTS

GAU: 1755

EXAMINER: A. J. GREEN

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

☐ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.

☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.

☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

☐ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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(OSMMN 05/03)



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 247791US0		U.S. APPLICATION SERIAL NO. 10/759,206, ISSUED AS U.S. PATENT NO. 6,986,811	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Martin KOENEMANN, et al.			
				FILING DATE: JANUARY 20, 2004 ISSUED: JANUARY 17, 2006		GROUP 1755	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						
	AG						
	AH						
	AI						
	AJ						
	AK						
	AL						
	AM						
	AN						
FOREIGN PATENT DOCUMENTS							
		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION YES NO		
	AO	DE 100 38 672 A1	05/29/02	GERMANY (w/English-language abstract)			
	AP						
	AQ						
	AR						
	AS						
	AT						
	AU						
	AV						
OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)							
	AW	SUESSMEIER, Frank, et al., Eur. J. Org. Chem., XP-002335000, pp. 607-610, "Novel Fluorescence Labels: The Synthesis of Perylene-3,4,9-tricarboxylic Imides", (2001).					
	AX	BAUMAN, Danuta, et al., Liquid Crystals, Vol. 27, No. 10, XP-001065718, pp., 1357-1367, "Linear dichroism of perylene derivatives in a nematic liquid crystal", (2000).					
	AY	MYKOWSKA, Ewa, et al., Z. Naturforsch. 51 a, XP-009050352, pp. 843-850, "Perylene-like Dyes in Liquid Crystalline Media", (1996).					
	AZ	BAUMAN, Danuta, et al., Molecular Crystals and Liquid Crystals Sci. and Tech., Section A: Molecular Crystals and Liquid Crystals, No. 321, XP-002335007, pp., 777-791, "Molecular orientation of perylene-like dyes in liquid crystal", (1998).				<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.							